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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. NATURES.003C9

APPLICATION NO. 10/712,085

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT Peter T. McCarthy

FILING DATE November 13, 2003 GROUP Unknown

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE
<u> </u>	1	5,384	12/4/1847	R.A. Tilghman			(IF APPROPRIATE
98.	2	144,538	11/11,1873	Harsen			<u></u>
ES.	3	169,396	11/2/1875	O.G. Ahlstrom			
0	4	234,305	05/28/25	Bovey (Wing #)	1		
	5	476,092	12/01/37	Valente (Jrong #	95		
93	6	783,012	02/21/05	Biedermann et al.	0		
0	7	787,291	unknown	Michiels (Wrong #)	78		
98	8	871,059	11/12/07	Douse		•	
9 S.	9	998,146	07/18/11	Alfier et al.			
98	10	1,061,264	5/13/1913	Bys			
93.	11	1,324,722	12/11/1917	William Bergin			· ······
98.	12	1,607,857	5/27/1926	F. Zukal			
A	13	1,684,714	08/12/27	Perry			
6 8	14	2,241,305	02/27/39	Hill, Jr.			
98	15	2,321,009	9/27/1940	O.P. Churchill			
98	16	2,343,468	3/7/1944	Messinger			
3	17	2,423,571	07/08/47	Wilen			
98.	18	2,588,363	03/11/52	Corlieu			
8.	19	2,850,487	9/2/1958	Gaetano F. D'Alello			
98	20	2,865,033	12/23/1958	D.L. Jayet			
98	21	2,889,563	6/9/1959	E.W. Lamb, et. al.			- .
93	22	2,903,719	9/15/1959	J.L. Wozencraft			
93	23	2,950,487	08/30/60	Woods .			
98.	24	3,019,458	2/6/1962	E. De Barbieri, et. al.			
98	25	3,055,025	9/25/1962	L. Ferraro, et. al.			
X	26	3,082,442	3/26/1963	Cousteau, et al.			
****	27	3,084,355	04/09/63	Ciccotelli			

EXAMINER

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DATE CONSIDERED

10/5/01

*EXAMINER: INITIALY CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 809; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY, DOCKET NO. NATURES,003C9	APPLICATION NO. 10/712,085	_
	INFORMATION	DISCLOSURE STATEMENT			
	Вл	Y APPLICANT	APPLICANT Peter T. McCarthy		
•	(USE SEVERAL	SHEETS IF NECESSARY)	FILING DATE November 13, 2003	GROUP Unknown	

U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)			
98	28	3,086,492	04/23/63	Holley						
9	29	3,109,495	11/5/63	Lang						
93.	30	3,112,503	12/3/1963	B.B. Girden						
B .	31	3,171,142	3/2/1965	Auzols						
98	32	3,239,857	3/15/1966	Frederick H. Gwynne						
ÖR.	33	3,256,540	6/21/1966	Novelli						
92	34	3,411,165	11/19/68	Murdoch			· · · · · · · · · · · · · · · · · · ·			
10	35	3,422,470	01/21/69	Mares						
98.	36	3,453,981	07/08/69	Gause						
98.	37	3,491,997	01/27/70	Winters			· · · · · · · · · · · · · · · · · · ·			
98	38	3,665,535	5/30/1972	Picken			-			
4	39	3,773,011	11/20/73	Gronier			<u></u>			
OK.	40	3,810,269	5/14/74	Tabata						
93	41	3,922,741	12/02/75	Semeia			····			
2	42	3,934,290	01/27/76	Le Vasseur						
3 3.	43	3,952,351	04/27/76	Gisbert						
98	44	4,007,506	2/15/1977	Rasmussen						
93.	45	4,025,977	5/31/1977	Cronin						
95	46	4,083,071	04/11/78	Forjot						
93	47	4,193,371	03/18/80	Baulard-Caugan .						
93	48	4,197,869	04/15/80	Moncrieff-Yeates			····			
2	49	4,209,866	7/1/1980	Loeffler						
B	50	4,342,558	08/03/82	Wilson						
De la	51	4,521,220	06/04/85	Schoofs						
93	52	4,541,810	09/17/85	Wenzel						
9	53	4,657,515	4/14/1987	Ciccotelli						
95	54	4,689,029	8/25/1987	Ciccotelli						

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*EXAMINER: INITIALIF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

	S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. NATURES.003C9	APPLICATION NO. 10/712,085
	CLOSURE STATEMENT	APPLICANT	
USE SEVERAL SH	EETS IF NECESSARY)	Peter T. McCarthy FILING DATE November 13, 2003	GROUP

U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE INITIAL (IF APPROPRIATE) 55 4,738,645 04/19/88 Garofalo 4,752,259 6/21/1988 56 Tackett, et. al. 9/27/1988 57 4,773,885 Ciccotelli 58 4,775,343 10/4/1988 Lamont, et. al. 11/01/88 4,781,637 59 Caires 60 4,820,218 04/11/89 Van de Pol 61 4,857,024 08/15/89 Evans 9/26/1989 4,869,696 Ciccotelli 62 4,895,537 1/23/1990 Ciccotelli 63 64 4,929,206 5/29/1990 Evans 65 06/19/90 4,934,971 Picken 66 4,940,437 07/10/90 Piatt 67 4,944,703 07/31/90 Mosier 4,954,111 09/04/90 68 Cressi Negrini et al. 69 4,954,112 09/04/90 70 11/17/92 5,163,859 Beltrani, et al. 71 5,304,081 4/19/1994 Takizawa 72 5,324,219 06/28/94 Beltrani et al. 73 5,356,323 10/18/94 **Evans** 74 5,358,439 10/25/94 Paolo 75 5,374,210 12/20/94 Sardella 2/7/1995 76 5,387,145 Wagner 77 5,417,599 05/23/95 **Evans** 78 5,429,536 7/4/1995 **Evans** 79 7/25/1995 5,435,764 Testa, et. al. 8/22/1995 80 5,443,593 Garofalo 81 6/4/1996 Cressi 5,522,748

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*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 608; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY, DOCKET NO. NATURES,003C9	APPLICATION NO. 10/712,085	
INFORMATION	DISCLOSURE STATEMENT			:
В	Y APPLICANT .	APPLICANT Peter T. McCarthy		
(USE SEVERA	L SHEETS IF NECESSARY)	FILING DATE November 13, 2003	GROUP Unknown	

U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)			
95	82	5,527,197	6/18/1996	Evans						
H.	83	5,533,918	7/9/1996	Sanders						
28	84	5,595,518	1/21/1997	Ours						
	85	5,597,336	1/28/1997	Evans						
X	86	5,634,613	6/3/97	McCarthy						
9	87	5,746,631	5/5/1998	McCarthy						
28	88	5,810,629	9/22/1998	Parr						
98	89	6,050,868	4/18/00	McCarthy						
93.	90	6,053,788	4/25/00	Garofalo						
98	91	6,095,879	8/2000	McCarthy						
98	92	6,126,503	10/3/00	Viale, et al.						
% .	93	6,146,224	11/14/00	McCarthy						
98	94	6,290,561 B1	9/18/01	Garofalo et al.						
98	95	6,364,728 B1	4/2/02	Viale et al.						
98	96	6,371,821	4/16/02	McCarthy						
28	97	6,413,133 B1	7/2/02	McCarthy		· · · · · · · · · · · · · · · · · · ·				
98	98	6,482,059 B2	11/19/02	McCarthy						
98	99	6,497,597	12/24/02	McCarthy						
X	100	6,585,548	7/1/03	McCarthy						
93	101	6,607,411	8/19/03	McCarthy						
98	102	Des. 278,165	3/26/1985	Evans						
98	103	Des. 302,999	8/22/1989	McCredie						
98	104	Des. 327,933	7/14/1992	Evans						
98	105	Des. 327,934	7/14/1992	Evans						
9	106	Des. 327,935	7/14/1992	Evans						
98.	107	Des. 328,118	7/21/1992	Evans		_				
9	108	Des. 340,272	10/12/1993	Evans						

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SHEET 5 OF 6

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. NATURES.003C9	APPLICATION NO. 10/712,085	
6	DISCLOSURE STATEMENT			
В	YAPPLICANT	APPLICANT Peter T. McCerthy		
USE SEVERAL	SHEETS IF NECESSARY)	FILING DATE November 13, 2003	GROUP Linknown	

U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS		DATE OPRIATE)		
98.	109	Des. 353,181	12/6/1994	Evans						
98.	110	Des. 393,684	4/21/1998	Lin						
98	111	Des. 396,897	8/11/1998	Evans		·	-			
C/3	112	Des. 404,456	1/19/1999	Evans						
8/3	113	2003/0092332 A1	5/15/03	McCarthy			12/2	8/01		
\$	114	2002/0025744 A1	2/28/02	McCarthy			5/9	/01		
FOREIGN PATENT DOCUMENTS										
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION			
INITIAL							YES	NO		
98.	115	1501208	Nov 1967	France						
98.	116	DD 259 353 A	08/24/88	Germany						
93	117	FR 2574 748 A	06/20/86	France			,			
95	118	JP 62 134395	06/17/87	Japan						
95	119	SU 1323 463 A	03/13/86	Soviet Union						
9 3.	120	0 308 998	03/29/89	Europe						
E	121	DE 3438808	04/24/86	Germany						
98	122	2 058 941	1971	France						
SS	123	2 213 072	1974	France						
93.	124	625377	09/19/61	ITALY (with Certified Translation)			Х			
9	125	1.245.395	9/26/60	FRANCE (with Certified Translation)			Х			
G S.	126	17,033	1890	England						
98	127	787,291	1935	France						
93	128	553307	1956	Italy		, ,				
SB.	129	61-6097	1986	Japan						
98	130	1,075 997	1960	Germany (with Certified Translation)			Х			
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SHEET 6 OF 6	
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY, DOCKET NO. NATURES,003C9	APPLICATION NO. 10/712,085	
_	DISCLOSURE STATEMENT Y APPLICANT			
_		APPLICANT Peter T. McCarthy		
(USE SEVERA	L SHEETS IF NECESSARY)	FILING DATE November 13, 2003	GROUP Unknown	

FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
INITIAL							YES	МО
S .	132	2 543 841	1983	France (with certified translation)			Х	
93	133	234305	5/28/1925	Great Britain				
95	134	2,490,498	3/26/82	France				
95	135	1,208,636	1959	France				

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
8	136	Rossier, Robert N., entitled, "Moving Forward - Getting your best kick in the water can come from a combination of design, efficiency and hydrodynamics in fins". ALERT DIVER, Nov-Dec 1997, pages 26 - 28.		
8	137	Triantafyllou, Michael S. and Triantafyllou George S., entitled, "An Efficient Swimming Machine". SCIENTIFIC AMERICAN, March 1995, pages 64-70.		
98	138	"3302 of 1880" (Picture From Book-Found in US PTO)		
8	139	"Test Dive" Article in Jan/Feb 1996 issue of Sport Diver Magazine		
98	140	"Oceanic V-Drive Fins" in May/Jun. 1996 Issue of Sport Diver Magazine		
88	141	Walton and Katz "Application of Leading-Edge Vortex Manipulations to Reduce Wing Rock Amplitudes" Journal of Aircraft Vol. 30, No. 4, pp. 555-559		
98	142	Traub and Nurick "Effects of Wing-Tip Vortex Flaps" Journal of Aircraft Vol. 30, No. 4, pp. 557-559		
93	143	Grantz and Marchman III" Trailing Edge Flap Influence on Leading Edge Vortex Flap Aerodynamics", Journal of Aircraft Vol. 20, No. 2, pp. 165-169		
X	144	Rao "An Exploratory Study of Area-Efficient Vortex Flap Concepts" Journal of Aircraft, Vol. 20, No. 12, pp. 1062-1067		
8	145	Lamar and Campbell "Vortex Flaps-Advanced Control Devices for Supercruise Fighters" Jan. 1984, Aerospace America.		
AP	146	"BZ" bodysurfing fin (Picture), 1st production in 1990		
PS PS	147	"Blade Pro" bodysurfing fin (Picture), 1 st production 1991		
98	148	"Wave Rebel" bodysurfing fin (Picture), 1 st production 1994		
28	149	Art. 1400 Pinna "Professional" Fin (1989)		
B	150	USPTO Serial No.: 10/299,647; Filed 11/19/02 - Inventor Peter T. McCarthy		
98	151	USPTO Serial No.: 10/035,758; Filed 12/28/01 – Inventor Peter T. McCarthy		
28	152	USPTO Serial No.: 10/762,640; Filed 1/22/04 – Inventor Peter T. McCarthy		
A	153	USPTO Serial No.: 09/852,155; Filed 5/9/01 – Inventor Peter T. McCarthy		
S		USPTO Serial No.: 10/623,187; Filed 7/18/03 - Inventor Peter T. McCarthy		
H:VDOCSVM	IKWI	K-7009.DOC		

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EXAMINER DATE CONSIDERED

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